



Practitioner's Docket No. NEB-207-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Morgan, Richard D.; Bhatia, Tanya; Davis, Theodore; and Lovasco, Lindsay

Application No.: 10/616,624

Group No.: 1653

Filed: July 10, 2003

Examiner: N/A

For: Recombinant Type II Restriction Endonucleases, MmeI and Related Endonucleases and Methods for Producing the Same

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

CERTIFICATION UNDER 37 C.F.R. ' ' 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is *mandatory*;  
*Express Mail certification is optional.*)

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. § 1.8(a)

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37 C.F.R. § 1.10\*

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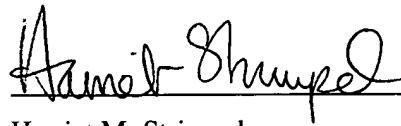
Date: February 26, 2004

Leslie Goldberg

(type or print name of person certifying)

\* Only the date of filing (' 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under ' 1.8 continues to be taken into account in determining timeliness. See ' 1.703(f). Consider "Express Mail Post Office to Addressee" (' 1.10) or facsimile transmission (' 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

Date: February 26, 2004

A handwritten signature in cursive script, reading "Harriet Strimpel", written over a horizontal line.

Harriet M. Strimpel  
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In re application of: Richard D. Morgan, Tanya Bhatia, Theodore Davis, and Lindsay Lovasco

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

1. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
2. Copies of Listed Information Items Accompanying This Statement
3. Identification of Person(s) Making This Information Disclosure Statement

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory;  
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37 C.F.R. § 1.8(a)

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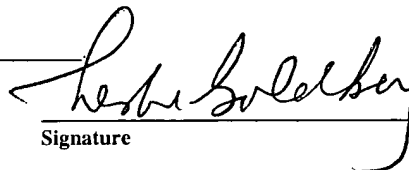
37 C.F.R. § 1.10\*

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Signature

Date: February 26, 2004

Leslie Goldberg  
(type or print name of person certifying)

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**Section 1. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)**

**Section 2. Copies of Listed Information Items Accompanying This Statement**

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

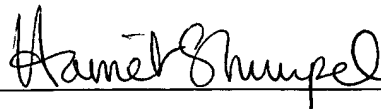
References BA-BB, CA

**Section 3. Identification of Person Making This Information Disclosure Statement**

The person making this certification is:

- a. the practitioner who signs below on the basis of the information in the practitioner's file.

Date: February 26, 2004

A handwritten signature in cursive script, reading "Harriet Strimpel", is written over a horizontal line.

Harriet M. Strimpel  
Registration No. 37,008  
New England Biolabs, Inc.  
32 Tozer Road  
Beverly, MA 01915  
978-927-5054  
Customer No. 28986

**SECTION 2. FORMS PTO/SB/08A and 08B (formerly Form PTO-1449)**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Morgan et al.

Attorney Docket: NEB-207-US

Serial No: 10/616,624

Art Group Unit: 1653

Date Filed: July 10, 2003

Examiner Name: N/A

Invention: Recombinant Type II Restriction Endonucleases, Mme I and Related Endonucleases and Methods for Producing the Same

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

U.S. PATENT DOCUMENTS					
Examiner Initials	Reference Number	Document Number	Issue Date	Inventor	Class/Subclass
		US			

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Reference Number	Country Code	Document Number	Publication Date	Patentee or Applicant	Class/Subclass
	BA	EP	1199365 A2	24.04.2002	New England Biolabs	C12N 15/55
	BB	EP	0066994 A2	15.12.1982	Imperial Chemical Industries	C12N 15/00

OTHER DOCUMENTS			
Examiner Initials	Reference Number	Author	Title of Article, Title of Journal, Volume Number, Page Numbers, Date
	CA	European Patent Office	International Search Report dated 14.01.2004 re PCT/US03/21570

Examiner Signature: \_\_\_\_\_

Date Considered: \_\_\_\_\_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation *if not* in conformance and not considered. Include copy of this form with next communication to applicant.

